

Matrix - Kronos2 User profile descriptions

A user profile is composed of the following 4 elements:

- * the Kronos2 module it can access
- * the instructions it can make
- * the views/enquiries (reports) it can access
- * the notifications it receives in the web inbox

These 4 elements are the building blocks of the user profiles as presented on the next pages.

Note: Prints best in A3 size

USER PROFILE DESCRIPTION
(as per Dec 2016)

	KRONOS2 MODULE	INSTRUCTIONS ALLOWED	VIEWS / AD-HOC ENQUIRIES (AD-HOC REPORTS)	ENQUIRIES STANDARD REPORTS	NOTIFICATIONS RECEIVED IN WEB INBOX PART 1	NOTIFICATIONS RECEIVED IN WEB INBOX PART 2
<p>RTGS Liquidity - monitors bank's liquidity, full access</p>	<p>RTGS module only</p>	<p>Fund settlement instructions Single funds settlement instructions o Receiver accept FSI o Standard FSI - MT202 o Standard FSI - MT103 Intra-account transfer instructions o Intra-account transfer instruction (LOM to LOM) o Intra-account transfer instruction (LOM to RCP Trust) o Intra-account transfer instruction (LOM to RTM) o Intra-account transfer instruction (RTM to LOM) o Intra-account transfer instruction (RTM to RCP Trust)</p> <p>Manage RAFSI accept instruction o RAFSI accept instruction (list and manual) o Remove an accepted RAFSI</p> <p>Role players profile instructions o Maintain role player own profile - e.g. position update interval o Maintain standard report parameters o Maintain an override standing intra-account funding arrangements</p> <p>Collateral instructions o Loan repay instruction o Manage auto-collateralisation o Remove RCP credit limit</p> <p>Manage queued or diarised instructions o Remove/Activate diarised FSIs o Change diarisation option of diarised instructions o Resequence diarised instructions</p>	<p>Consolidated and classic position views</p> <p>Ad-hoc enquiries on o Accounting - account statements and interest o Settlement position - e.g. bank's position, account movements o Funds settlement - e.g. instruction details o Operating schedule o EOD reconciliation o Collateral - e.g. collateral list, collateral utilisation o Settlement participant and agreements - e.g. settlement bank details, LOM agreement details o Charges - charges levied, and RTGS charge invoice o Rates - interest and foreign exchange rates o Regional Cash Pool - limits and funds o System and message logs - message errors and incoming messages o Settlement bank's profile - standing intra-account funding arrangements and own profile instructions o Instruction audit logs - logbroker entry list (for trouble-shooting)</p>	<p>Standard reports on o Actual operating schedule o Bank's collateral list o Bank's position: Account movements o Bank's position: Diarised per FSVD o Bank's position: Diarised per reason o Bank's position: Volumes for current FSVD o Charges levied o Collateral instruction list o Collateral list utilisation report o EOD reconciliation o Final electronic LOM account statement o Final electronic RCP trust account statement o Final electronic consolidated account statement o Final electronic loan account statement o Final electronic settlement account statement o FSI volumes and values per originator o Funds settlement instruction list o Intra-account transfer instruction list o LOM account statement o Loan account statement o Manage FSI instruction list o Own profile instruction list o RCP trust account statement o Settlement account statement</p>	<p>Business control - warning notifications on o Collateral utilisation exceeds overall credit limit o Conversion of certificates of deposit o Insufficient funds warnings o Outstanding diarised FSI warning (Single) o Overnight credit arrangement notification o Overnight funding arrangement failure o Partially secured loan not rectified warning o Partially secured loan rectified warning o Partially secured loan warning o RCP credit limit outstanding at RCP cut-off1 o RCP trust account balance outstanding at RCP cut-off1 o Unacceptable overnight collateral utilised warning o Unauthorised auto-collateralisation utilised at cut-off o Unauthorised loan at intraday loan cut-off o Unsecured external sources rectified o Unsecured external sources warning</p> <p>Business control LOM alerts on o LOM gridlock buster warning o RTM liquidity used for gridlock busting</p> <p>Generic unsuccessful instruction notifications o Generic instruction error o Generic invalid instruction o Instruction discard (Originator) o Instruction discard (Participant)</p> <p>Information broadcast messages (broadcasted by central bank)</p>	<p>Notifications on 'Payer to activate diarised instructions</p> <p>RCP unsuccessful notifications o RCP credit limit remove unsuccessful o RCP trust account transfer unsuccessful</p> <p>Successful administration instruction notifications (changes made by the central bank) o Actual operating schedule change notification o Discretionary charge discard notification o Discretionary charge notification o Interest payable rate change notification o LOM agreement participant change notification o Local funds settlement calendar change notification o Maintain base interest rate notification o Maintain interest category notification o Pause, stop or resume settlement notification o Release/decline end-of-period FSI notification o Settlement deposit limit change notification o Standard operating schedule change notification o System interrupt notification o Unsecured loan interest rate change notification o Weekday change notification</p> <p>Successful and unsuccessful concurrent batch notifications (as submitted by ASA's) o Concurrent batch settled notifications (Net amount) o Concurrent batch discard (Net amount)</p>
<p>Notes</p>		<p>4-eye principle on single funds settlement instructions Single funds settlement instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.</p> <p>4-eye principle on RCP intra-account transfers and remove RCP credit limit RCP related instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.</p>		<p>Standard reports require set up under 'Maintain standard report parameters'.</p>		
<p>RTGS Registration - can generate FSI's, but not see bank's balance/position</p>	<p>RTGS module only</p>	<p>Fund settlement instructions Single funds settlement instructions o Receiver accept FSI o Standard FSI - MT202 o Standard FSI - MT103 Intra-account transfer instructions o Intra-account transfer instruction (LOM to LOM) o Intra-account transfer instruction (LOM to RCP Trust) o Intra-account transfer instruction (LOM to RTM) o Intra-account transfer instruction (RTM to LOM) o Intra-account transfer instruction (RTM to RCP Trust)</p> <p>Manage RAFSI accept instruction o RAFSI accept instruction (list and manual) o Remove an accepted RAFSI</p> <p>Role players profile instructions o Maintain role player own profile - e.g. position update interval o Maintain and override standing intra-account funding arrangements</p> <p>Manage queued or diarised instructions o Remove/Activate diarised FSIs o Change diarisation option of diarised instructions o Resequence diarised instructions</p>	<p>Ad-hoc enquiries on o Funds settlement - e.g. instruction details o Operating schedule o Settlement bank's profile - standing intra-account funding arrangements</p>	<p>Standard reports on o Actual operating schedule o Funds settlement instruction list o Intra-account transfer instruction list o Manage FSI instruction list</p>	<p>Generic unsuccessful instruction notifications o Generic instruction error o Generic invalid instruction o Instruction discard (Originator) o Instruction discard (Participant)</p> <p>Information broadcast messages (broadcasted by central bank)</p>	<p>Notifications on 'Payer to activate diarised instructions</p> <p>Successful administration instruction notifications (changes made by the central bank) o Actual operating schedule change notification o Local funds settlement calendar change notification o Pause, stop or resume settlement notification o Standard operating schedule change notification o System interrupt notification o Weekday change notification</p> <p>Successful and unsuccessful concurrent batch notifications (as submitted by ASA's) o Concurrent batch settled notifications (Net amount) o Concurrent batch discard (Net amount)</p>
<p>Notes</p>		<p>4-eye principle on single funds settlement instructions Single funds settlement instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.</p> <p>4-eye principle on RCP intra-account transfers RCP related instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.</p>		<p>Standard reports require set up under 'Maintain standard report parameters'. This profile does not have access to this function.</p>		

USER PROFILE DESCRIPTION
(as per Dec 2016)

	KRONOS2 MODULE	INSTRUCTIONS ALLOWED	VIEWS / AD-HOC ENQUIRIES (AD-HOC REPORTS)	ENQUIRIES STANDARD REPORTS	NOTIFICATIONS RECEIVED IN WEB INBOX PART 1	NOTIFICATIONS RECEIVED IN WEB INBOX PART 2
RTGS Reconciliation - reconciliation access only	RTGS module only	This profile does not have access to any instructions.	Ad-hoc enquiries on <ul style="list-style-type: none"> o Accounting - account statements and interest o Settlement position - e.g. bank's position, account movements o Funds settlement - e.g. instruction details o Operating schedule o EOD reconciliation o Charges - charges levied, and RTGS charge invoice 	Standard reports on <ul style="list-style-type: none"> o Actual operating schedule o Bank's position: Account movements o Charges levied o EOD reconciliation o Final electronic LOM account statement o Final electronic RCP trust account statement o Final electronic consolidated account statement o Final electronic loan account statement o Final electronic settlement account statement o FSI volumes and values per originator o Funds settlement instruction list o Intra-account transfer instruction list o LOM account statement o Loan account statement o RCP trust account statement o Settlement account statement 	Information broadcast messages (broadcasted by central bank)	Successful administration instruction notifications (changes made by the central bank) <ul style="list-style-type: none"> o Actual operating schedule change notification o Discretionary charge discard notification o Discretionary charge notification o Interest payable rate change notification o Local funds settlement calendar change notification o Maintain base interest rate notification o Maintain interest category notification o Pause, stop or resume settlement notification o Settlement deposit limit change notification o Standard operating schedule change notification o System interrupt notification o Weekday change notification
Notes				Standard reports require set up under 'Maintain standard report parameters'. This profile does not have access to this function.		
RTGS View only - view/read only access	RTGS module only	Role players profile instructions <ul style="list-style-type: none"> o Maintain standard report parameters 	Consolidated and classic position views Ad-hoc enquiries on <ul style="list-style-type: none"> o Accounting - account statements and interest o Settlement position - e.g. bank's position, account movements o Funds settlement - e.g. instruction details o Operating schedule o EOD reconciliation o Collateral - e.g. collateral list, collateral utilisation o Settlement participant and agreements - e.g. settlement bank details, LOM agreement details o Charges - charges levied, and RTGS charge invoice o Rates - interest and foreign exchange rates o Regional Cash Pool - limits and funds o System and message logs -message errors and incoming messages o Settlement bank's profile - standing intra-account funding arrangements and own profile instructions o Instruction audit logs - logbroker entry list (for trouble-shooting) 	Standard reports on <ul style="list-style-type: none"> o Actual operating schedule o Bank's collateral list o Bank's position: Account movements o Bank's position: Diarised per FSVD o Bank's position: Diarised per reason o Bank's position: Volumes for current FSVD o Charges levied o Collateral instruction list o Collateral list utilisation report o EOD reconciliation o Final electronic LOM account statement o Final electronic RCP trust account statement o Final electronic consolidated account statement o Final electronic loan account statement o Final electronic settlement account statement o FSI volumes and values per originator o Funds settlement instruction list o Intra-account transfer instruction list o LOM account statement o Loan account statement o Manage FSI instruction list o Own profile instruction list o RCP trust account statement o Settlement account statement 	Information broadcast messages (broadcasted by central bank)	
Notes				Standard reports require set up under 'Maintain standard report parameters'.		

USER PROFILE DESCRIPTION
(as per Dec 2016)

	KRONOS2 MODULE	INSTRUCTIONS ALLOWED	VIEWS/ENQUIRIES (REPORTS) ALLOWED	NOTIFICATIONS RECEIVED IN WEB INBOX
GCSD Monetary policy operations - access to instructions, views & reports	GCSD module only	Monetary policy operations <ul style="list-style-type: none"> o Bilateral agreements o Early mature o Manage queued trades o Market operations System settings <ul style="list-style-type: none"> o Participant settings o User preferences 	Holdings monitor view View trades View orders Reports on <ul style="list-style-type: none"> o Account holdings o Account Statement o Business control log o Transaction detail o Audit detail o Orders list o Trades list 	Market operations open notification Order status Trade discarded Trade queued Early maturity notification Transaction intervention action Collateral update for participant Information broadcast messages (broadcasted by central bank)
Notes		4-eye principle on monetay policy operations instructions Monetary policy operations instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.		
GCSD Pledging of collateral - access to instructions, views & reports	GCSD module only	Securities <ul style="list-style-type: none"> o Pledge/Withdraw System settings <ul style="list-style-type: none"> o User preferences 	Securities pledge/withdraw view Reports on <ul style="list-style-type: none"> o Pledge / Withdraw list o Business control log o Transaction detail o Audit detail 	Pledge / Withdrawal response Collateral update for participant Information broadcast messages (broadcasted by central bank)
Notes		4-eye principle on pledge/withdraw instructions Pledge/withdraw instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.		
GCSD Cash supply operations - access to instructions, views & reports	GCSD module only	Notes and coins operations <ul style="list-style-type: none"> o Collect / return Cash holdings System settings <ul style="list-style-type: none"> o User preferences 	Notes and coins view Reports on <ul style="list-style-type: none"> o Notes and coins inventory statement o Notes and coins transaction statement o Notes and coins transaction detail o Business control log o Transaction detail o Audit detail 	Notes and coins: Not enough coverage control Notes and coins: Transaction information and status Information broadcast messages (broadcasted by central bank)
Notes		4-eye principle on notes and coins instructions Notes and coins instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.		
GCSD View only - view/read only access	GCSD module only	System settings <ul style="list-style-type: none"> o User preferences 	Reports on <ul style="list-style-type: none"> o Account holdings o Account Statement o Orders list o Trades list o Pledge / Withdraw list o Notes and coins inventory statement o Notes and coins transaction statement o Notes and coins transaction detail o Business control log o Transaction detail o Audit detail 	Information broadcast messages (broadcasted by central bank)
Notes				